

Prozone Capital Shopping Centres Limited
SHAREHOLDING PATTERN
AS ON 30.09.2012

1(a) Statement showing Shareholding Pattern

Name of the Company: Prozone Capital Shopping Centres Limited
Scrip Code: NSE:PROZONECSC BSE: 534675
Name of Scrip: Equity Share of Rs. 2/- each
Class of Securities: Equity Shares
Quarter Ended: As on 30.09.2012

Partly Paid-up shares	No. of Partly Paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the Company
Held by promoter / promoter group	0	0	0
Held by public	0	0	0
Total	0	0	0
Outstanding convertible securities	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the Company, assuming full conversion of the convertible securities
Held by promoter / promoter group	0	0	0
Held by public	0	0	0
Total	0	0	0
Warrants	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the Company, assuming full conversion of warrants
Held by promoter / promoter group	0	0	0
Held by public	0	0	0
Total	0	0	0
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities	Rs. 30,52,05,766/- (15,26,02,883 Equity Shares of Rs. 2/- Each)		

SHAREHOLDING PATTERN OF PROZONE CAPITAL SHOPPING CENTRES LIMITED - AS ON 30TH SEPTEMBER, 2012

Category Code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a % of total number of shares		Shares pledged or otherwise encumbered	
					As a % of (A+B)	As a % of (A+B+C)	No. of Shares	As a %
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV)*100
(A)	Promoter and Promoter's Group							
(1)	Indian							
(a)	Individuals/ Hindu Undivided Family	12	36,812,871	36,812,871	24.12	24.12	23592840	64.09
(b)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	3	11,290,000	11,290,000	7.40	7.40	11040000	97.79
(d)	Financial Institutions/ Banks	0	0	0	0.00	0.00	0	0.00
(e)	Any Other							
(e-i)	Persons acting in concert	3	559,600	559,600	0.37	0.37	0	0.00
(e-ii)	Limited Liability Partnership	1	4,864,778	4,864,778	3.19	3.19	3497000	71.88
	Sub-Total (A)(1)	19	53,527,249	53,527,249	35.08	35.08	38,129,840	NA
(2)	Foreign							
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	0	0	0	0.00	0.00	0	0
(b)	Bodies Corporate	0	0	0	0.00	0.00	0	0
(c)	Institutions	0	0	0	0.00	0.00	0	0
(d)	Any Other (specify)	0	0	0	0.00	0.00	0	0
	Sub-Total (A)(2)	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	19	53,527,249	53,527,249	35.08	35.08	38129840	NA
(B)	Public Shareholding							
(1)	Institutions							
(a)	Mutual Funds/ UTI	0	0	0	0.00	0.00	-	-
(b)	Financial Institutions/ Banks	2	926,506	926,506	0.61	0.61	-	-
(c)	Central Government/ State Government(s)	0	0	0	0.00	0.00	-	-
(d)	Venture Capital Funds	0	0	0	0.00	0.00	-	-
(e)	Insurance Companies	0	0	0	0.00	0.00	-	-
(f)	Foreign Institutional Investors/ FFI	16	10,731,901	10,731,901	7.03	7.03	-	-
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	-	-
(h)	Any Other (specify)	0	0	0	0.00	0.00	-	-
	Sub-Total (B)(1)	18	11,658,407	11,658,407	7.64	7.64	NA	NA

Category Code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a % of total number of shares		Shares pledged or otherwise encumbered	
					As a % of (A+B)	As a % of (A+B+C)	No. of Shares	As a %
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV)*100
(2)	Non-institutions							
(a)	Bodies Corporate	602	11,287,695	11,287,695	7.40	7.40	-	-
(b)	Individuals -							
	i. Individual shareholders holding nominal share capital up to Rs. 1 lakh.	36,532	15,507,847	15,493,832	10.16	10.16	-	-
	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	40	13,730,957	13,610,782	9.00	9.00	-	-
(c)	Any Other (specify)							
(c-i)	Clearing Members	269	1,830,667	1,830,667	1.20	1.20	-	-
(c-ii)	Non-resident Indians	526	1,064,273	1,064,273	0.70	0.70	-	-
(c-iii)	Foreign Companies	1	43,995,788	43,995,788	28.83	28.83	-	-
	Sub-Total (B)(2)	37,970	87,417,227	87,283,037	57.28	57.28	NA	NA
	Total Public Shareholding (B)= (B)(1)+(B)(2)	37,988	99,075,634	98,941,444	64.92	64.92	-	-
	TOTAL (A)+(B)	38,007	152,602,883	152,468,693	100.00	100.00	-	-
(C)	Shares held by Custodians and against which Depository Receipts have been issued	0	0	0	0	0	NA	NA
(1)	Promoter and Promoter Group	-	-	-	-	-	-	-
(2)	Public	-	-	-	-	-	-	-
	GRAND TOTAL (A)+(B)+(C)	38,007	152,602,883	152,468,693	100.00	100.00	NA	NA

Notes:

- Total Non-promoter holding is 64.92 % there are no ADR/GDR issues.
- Total Foreign Shareholding is 5,57,91,962 shares (36.56%) which includes investment made by NRI -10,64,273 shares (0.70%), Foreign Bodies Corporate- 4,39,95,788 shares (28.83%) and FII- 1,07,31,901 shares (7.03%)

(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category “Promoter and Promoter Group”

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares	As a % of Grand Total (A)+(B)+(C)	Number of shares	As a %	As a % of Grand Total (A)+(B)+(C) of sub clause (I)(a)	Number of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI) = (V)/(III)X 100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	NIKHIL ANUPENDRA CHATURVEDI	10,611,995	6.95	10,606,000	99.94	6.95	0	0.00	0	0.00	6.95
2	SALIL ANUPENDRA CHATURVEDI	10,295,135	6.75	5,590,784	54.31	3.66	0	0.00	0	0.00	6.75
3	RAKESH RAWAT	4,111,750	2.69	2,404,700	58.48	1.58	0	0.00	0	0.00	2.69
4	DEEP SUBASH GUPTA	5,673,445	3.72	2,740,572	48.31	1.80	0	0.00	0	0.00	3.72
5	NIGAM PATEL	2,912,830	1.91	1,125,392	38.64	0.74	0	0.00	0	0.00	1.91
6	AKHIL ANUPENDRA CHATURVEDI	2,912,830	1.91	1,125,392	38.64	0.74	0	0.00	0	0.00	1.91
7	ANISHA CHATURVEDI	144,225	0.09	0	0.00	0.00	0	0.00	0	0.00	0.09
8	VEENA GUPTA	70,005	0.05	0	0.00	0.00	0	0.00	0	0.00	0.05
9	VANDANA VAIDH	1,620	0.00	0	0.00	0.00	0	0.00	0	0.00	0.00
10	ANISHA CHHABRA	22,035	0.01	0	0.00	0.00	0	0.00	0	0.00	0.01
11	GHANSHYAM RAWAT	20,500	0.01	0	0.00	0.00	0	0.00	0	0.00	0.01
12	PUSHPLATA RAWAT	36,501	0.02	0	0.00	0.00	0	0.00	0	0.00	0.02
13	BALA CHHABRA	100,000	0.07	0	0.00	0.00	0	0.00	0	0.00	0.07
14	SUSHANT CHHABRA	67,300	0.04	0	0.00	0.00	0	0.00	0	0.00	0.04
15	VIRENDRA CHHABRA	392,300	0.26	0	0.00	0.00	0	0.00	0	0.00	0.26
16	MEERUT FESTIVAL CITY LLP	4,864,778	3.19	3,497,000	71.88	2.29	0	0.00	0	0.00	3.19
17	FLORO MERCANTILE PVT. LTD.	6,240,000	4.09	6,240,000	100.00	4.09	0	0.00	0	0.00	4.09
18	TOPSPEED TRADING CO. PVT. LTD.	4,800,000	3.15	4,800,000	100.00	3.15	0	0.00	0	0.00	3.15
19	PROVOGUE (INDIA) LIMITED	250,000	0.16	0	0.00	0.00	0	0.00	0	0.00	0.16
	Total	53,527,249	35.08	38,129,840	71.23	24.99	0	0.00	0	0.00	35.08

(l)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category “Public” and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (l)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t. total number of convertible securities of the same class	
1	NAILSFIELD LIMITED	43,995,788	28.83	0	0.0000	0	0.0000	28.83
2	Marc Faber A/c Limited Nailsfield Limited	5,415,000	3.55	0	0.0000	0	0.0000	3.55
3	SANDEEP G RAHEJA	4,489,600	2.94	0	0.0000	0	0.0000	2.94
4	RAKESH JHUNJHUNWALA	2,687,201	1.76	0	0.0000	0	0.0000	1.76
5	RAJESH R NARANG	2,324,160	1.52	0	0.0000	0	0.0000	1.52
6	ACACIA PARTNERS, LP	2,243,375	1.47	0	0.0000	0	0.0000	1.47
Total		61,155,124	40.07	0	0.0000	0	0.0000	40.07

(l)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category “Public” and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (l)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	NAILSFIELD LIMITED (including shares holding through FII)	49,410,788	32.38	3	0.0000	0	0.0000	32.38
Total		49,410,788	32.38	0	0.0000	0	0.0000	32.38

(I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	Nailsfield Limited ¹	37,995,788	24.90
	Total		

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NA	NA	NA	NA
	Total	0	0	0

(II)(b) Statement showing Holding of Depository Receipts (DRs), where underlying shares held by 'promoter/promoter group' are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NA	NA	NA	NA
	Total			

(III)(a) Statement showing the voting pattern of shareholders, if more than one class of shares/ securities is issued by the issuer:

(Give description of voting rights for each class of securities: Class X, Class Y, Class Z)

Not Applicable, as Company has only one class of security.

Note:

- 1 As per SEBI letter no. CFD/DIL/SK/PM/5102/2012 dated 31st August 2012 granting relaxation from the strict application of Rule 19(2)(b) of SCRR, the above shares have been locked in for a period of one year upto 13th September 2013 and shall be released on 14th September 2013. The shares of the Company got listed on the Stock Exchanges on 12th September 2012