

**Prozone Capital Shopping Centres Limited**  
**SHAREHOLDING PATTERN**  
**AS ON 31.12.2012**

1(a) Statement showing Shareholding Pattern

Name of the Company: Prozone Capital Shopping Centres Limited  
Scrip Code: NSE:PROZONECSC BSE: 534675  
Name of Scrip: Equity Share of Rs. 2/- each  
Class of Securities: Equity Shares  
Quarter Ended: As on 31.12.2012

Partly Paid-up shares	No. of Partly Paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the Company
Held by promoter / promoter group	0	0	0
Held by public	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>
Outstanding convertible securities	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the Company, assuming full conversion of the convertible securities
Held by promoter / promoter group	0	0	0
Held by public	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>
Warrants	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the Company, assuming full conversion of warrants
Held by promoter / promoter group	0	0	0
Held by public	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total paid-up capital of the company, assuming full conversion of warrants and convertible securities</b>	Rs. 30,52,05,766/- (15,26,02,883 Equity Shares of Rs. 2/- Each)		

**SHAREHOLDING PATTERN OF PROZONE CAPITAL SHOPPING CENTRES LIMITED - AS ON 31ST DECEMBER, 2012**

Category Code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a % of total number of shares		Shares pledged or otherwise encumbered	
					As a % of (A+B)	As a % of (A+B+C)	No. of Shares	As a %
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV)*100
<b>(A)</b>	<b>Promoter and Promoter's Group</b>							
<b>(1)</b>	<b>Indian</b>							
(a)	Individuals/ Hindu Undivided Family	16	37,182,471	37,182,471	24.37	24.37	14692840	39.52
(b)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	3	11,290,000	11,290,000	7.40	7.40	11040000	97.79
(d)	Financial Institutions/ Banks	0	0	0	0.00	0.00	0	0.00
(e)	Any Other							
(e-i)	Limited Liability Partnership	1	4,864,778	4,864,778	3.19	3.19	500000	10.28
	<b>Sub-Total (A)(1)</b>	<b>20</b>	<b>53,337,249</b>	<b>53,337,249</b>	<b>34.95</b>	<b>34.95</b>	<b>26,232,840</b>	<b>49.18</b>
<b>(2)</b>	<b>Foreign</b>							
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	0	0	0	0.00	0.00	0	0
(b)	Bodies Corporate	0	0	0	0.00	0.00	0	0
(c)	Institutions	0	0	0	0.00	0.00	0	0
(d)	Any Other (specify)	0	0	0	0.00	0.00	0	0
	<b>Sub-Total (A)(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
	<b>Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)</b>	<b>20</b>	<b>53,337,249</b>	<b>53,337,249</b>	<b>34.95</b>	<b>34.95</b>	<b>26232840</b>	<b>NA</b>
<b>(B)</b>	<b>Public Shareholding</b>							
<b>(1)</b>	<b>Institutions</b>							
(a)	Mutual Funds/ UTI	0	0	0	0.00	0.00	-	-
(b)	Financial Institutions/ Banks	2	926,506	926,506	0.61	0.61	-	-
(c)	Central Government/ State Government(s)	0	0	0	0.00	0.00	-	-
(d)	Venture Capital Funds	0	0	0	0.00	0.00	-	-
(e)	Insurance Companies	0	0	0	0.00	0.00	-	-
(f)	Foreign Institutional Investors/ FFI	17	15,413,492	15,413,492	10.10	10.10	-	-
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	-	-
(h)	Any Other (specify)	0	0	0	0.00	0.00	-	-
	<b>Sub-Total (B)(1)</b>	<b>19</b>	<b>16,339,998</b>	<b>16,339,998</b>	<b>10.71</b>	<b>10.71</b>	<b>NA</b>	<b>NA</b>

Category Code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a % of total number of shares		Shares pledged or otherwise encumbered	
					As a % of (A+B)	As a % of (A+B+C)	No. of Shares	As a %
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV)*100
<b>(2)</b>	<b>Non-institutions</b>							
(a)	Bodies Corporate	527	9,003,330	9,003,330	5.90	5.90	-	-
(b)	Individuals -							
	i. Individual shareholders holding nominal share capital up to Rs. 1 lakh.	32,720	13,606,405	13,592,388	8.92	8.92	-	-
	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	31	14,257,157	14,136,982	9.34	9.34	-	-
(c)	Any Other (specify)							
(c-i)	Clearing Members	213	1,155,083	1,155,083	0.76	0.76	-	-
(c-ii)	Non-resident Indians	483	907,873	907,873	0.59	0.59	-	-
(c-iii)	Foreign Companies	1	43,995,788	43,995,788	28.83	28.83	-	-
	<b>Sub-Total (B)(2)</b>	<b>33,975</b>	<b>82,925,636</b>	<b>82,791,444</b>	<b>54.34</b>	<b>54.34</b>	<b>NA</b>	<b>NA</b>
	Total Public Shareholding (B)= (B)(1)+(B)(2)	33,994	99,265,634	99,131,442	65.05	65.05	-	-
	<b>TOTAL (A)+(B)</b>	<b>34,014</b>	<b>152,602,883</b>	<b>152,468,691</b>	<b>100.00</b>	<b>100.00</b>	<b>-</b>	<b>-</b>
<b>(C)</b>	<b>Shares held by Custodians and against which Depository Receipts have been issued</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>NA</b>	<b>NA</b>
(1)	Promoter and Promoter Group	-	-	-	-	-	-	-
(2)	Public	-	-	-	-	-	-	-
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>34,014</b>	<b>152,602,883</b>	<b>152,468,691</b>	<b>100.00</b>	<b>100.00</b>	<b>NA</b>	<b>NA</b>

**Notes:**

- Total Non-promoter holding is 65.05 % there are no ADR/GDR issues.
- Total Foreign Shareholding is 60,317,153 shares (39.53%) which includes investment made by NRI -907,873 shares (0.59%), Foreign Bodies Corporate- 43,995,788 shares (28.83%) and FII- 15,413,492 shares (10.10%)
- Persons falling under Promoter Group have been re-arranged as per the definitions given under the SEBI (ICDR regulation) 2009. There is no transaction or transfer of shares amongst the promoter group of the Company.

## (I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category “Promoter and Promoter Group”

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares	As a % of Grand Total (A)+(B)+(C)	Number of shares	As a %	As a % of Grand Total (A)+(B)+(C) of sub clause (I)(a)	Number of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI) = (V)/(III)X 100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	NIKHIL ANUPENDRA CHATURVEDI	10,611,995	6.95	2,706,000	25.50	1.77	0	0.00	0	0.00	6.95
2	SALIL ANUPENDRA CHATURVEDI	10,295,135	6.75	4,590,784	44.59	3.01	0	0.00	0	0.00	6.75
3	RAKESH RAWAT	4,111,750	2.69	2,404,700	58.48	1.58	0	0.00	0	0.00	2.69
4	DEEP SUBASH GUPTA	5,673,445	3.72	2,740,572	48.31	1.80	0	0.00	0	0.00	3.72
5	NIGAM PATEL	2,712,830	1.78	1,125,392	41.48	0.74	0	0.00	0	0.00	1.78
6	AKHIL ANUPENDRA CHATURVEDI	2,912,830	1.91	1,125,392	38.64	0.74	0	0.00	0	0.00	1.91
7	ANISHA CHATURVEDI	144,225	0.09	0	0.00	0.00	0	0.00	0	0.00	0.09
8	VEENA GUPTA	70,005	0.05	0	0.00	0.00	0	0.00	0	0.00	0.05
9	VANDANA VAIDH	1,620	0.00	0	0.00	0.00	0	0.00	0	0.00	0.00
10	ANISHA CHHABRA	22,035	0.01	0	0.00	0.00	0	0.00	0	0.00	0.01
11	GHANSHYAM RAWAT	20,500	0.01	0	0.00	0.00	0	0.00	0	0.00	0.01
12	PUSHPLATA RAWAT	36,501	0.02	0	0.00	0.00	0	0.00	0	0.00	0.02
13	BALA CHHABRA	100,000	0.07	0	0.00	0.00	0	0.00	0	0.00	0.07
14	SUSHANT CHHABRA	67,300	0.04	0	0.00	0.00	0	0.00	0	0.00	0.04
15	VIRENDRA CHHABRA	392,300	0.26	0	0.00	0.00	0	0.00	0	0.00	0.26
16	MEERUT FESTIVAL CITY LLP	4,864,778	3.19	500,000	10.28	0.33	0	0.00	0	0.00	3.19
17	FLORO MERCANTILE PVT. LTD.	6,240,000	4.09	6,240,000	100.00	4.09	0	0.00	0	0.00	4.09
18	TOPSPEED TRADING CO. PVT. LTD.	4,800,000	3.15	4,800,000	100.00	3.15	0	0.00	0	0.00	3.15
19	PROVOGUE (INDIA) LIMITED	250,000	0.16	0	0.00	0.00	0	0.00	0	0.00	0.16
20	MRS. SANTOSH SUBHASH GUPTA <sup>1</sup>	10,000	0.01	0	0.00	0.00	0	0.00	0	0.00	0.01
	<b>Total</b>	<b>53,337,249</b>	<b>34.95</b>	<b>26,232,840</b>	<b>49.18</b>	<b>17.19</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>34.95</b>

**Note:**

- 1 Mrs. Santosh Subhash Gupta, being immediate relative of Mr. Deep Gupta, Promoter has been classified in Promoter Group from Public Category. However, she has not purchased any fresh shares of the company either from market or otherwise.

(l)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category “Public” and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (l)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t. total number of convertible securities of the same class	
1	NAILSFIELD LIMITED	43,995,788	28.83	0	0.0000	0	0.0000	28.83
2	Marc Faber A/c Limited Nailsfield Limited	5,415,000	3.55	0	0.0000	0	0.0000	3.55
3	SANDEEP G RAHEJA	4,489,600	2.94	0	0.0000	0	0.0000	2.94
4	RAKESH JHUNJHUNWALA	3,750,000	2.46	0	0.0000	0	0.0000	2.46
5	RAJESH R NARANG	2,324,160	1.52	0	0.0000	0	0.0000	1.52
6	ACACIA PARTNERS, LP	2,243,375	1.47	0	0.0000	0	0.0000	1.47
7	LO FUNDS -EMERGING CONSUMER	2,042,932	1.34	0	0.0000	0	0.0000	1.34
<b>Total</b>		<b>64,260,855</b>	<b>42.11</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>42.11</b>

(l)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category “Public” and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (l)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t. total number of convertible securities of the same class	
1	NAILSFIELD LIMITED (including shares holding through FII)	49,410,788	32.38	3	0.0000	0	0.0000	32.38
<b>Total</b>		<b>49,410,788</b>	<b>32.38</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>32.38</b>

(I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Promoter/Promoter Group/Public
1	Nailsfield Limited <sup>1</sup>	37,995,788	24.90	Public-Foreign Company
	<b>Total</b>			

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NA	NA	NA	NA
	<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>

(II)(b) Statement showing Holding of Depository Receipts (DRs), where underlying shares held by 'promoter/promoter group' are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NA	NA	NA	NA
	<b>Total</b>			

(III)(a) Statement showing the voting pattern of shareholders, if more than one class of shares/ securities is issued by the issuer:

(Give description of voting rights for each class of securities: Class X, Class Y, Class Z)

Not Applicable, as Company has only one class of security.

Note:

- 1 As per SEBI letter no. CFD/DIL/SK/PM/5102/2012 dated 31st August 2012 granting relaxation from the strict application of Rule 19(2)(b) of SCRR, the above shares have been locked in for a period of one year upto 13th September 2013 and shall be released on 14th September 2013. The shares of the Company got listed on the Stock Exchanges on 12th September 2012